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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: June 15, 2005 Name: Tadashi Horie Reg. No. 40,437 Signature: *Tadashi Horie*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Toshihiko OBA

Appln. No.: 09/673,360

Filed: October 16, 2000

For: SPEECH TRANSFORMATION  
METHOD AND APPARATUS

Examiner: NOLAN, Daniel A.

Art Unit: 2654

Attorney Docket No: 11934/3

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Fifth Supplemental Information Disclosure Statement, Form PTO-1449, 1 Cited Reference (F1), Check for \$180
- ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☒ A petition or processing fee in an amount of \$180 under 37 C.F.R. § 1.17(p).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim						+\$180=			+\$360=
Total					\$			Total	\$

Fee payment:

- ☒ A check in the amount of \$180 is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

June 15, 2005  
Date

*Tadashi Horie*  
Tadashi Horie (Reg. No. 40,437)



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

June 15, 2005

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or  
Registered Representative

Signature

June 15, 2005

Date of Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Toshihiko OBA  
Appln. No.: 09/673,360  
Filed: October 16, 2000  
For: SPEECH TRANSFORMATION  
METHOD AND APPARATUS  
Attorney Docket No: 11934/3

Examiner: NOLAN, Daniel A.  
Art Unit: 2654

**FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicant hereby cites the following reference:

FOREIGN DOCUMENT NUMBER	DATE	COUNTRY
<small>Number-Kind Code (if known)</small> H08-212228	08/20/1996	Japan

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). The attached reference is in Japanese. Applicant is submitting an English translation thereof. Applicant respectfully requests the Examiner's consideration of the above reference and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated a processing fee in the amount of \$180.00 to be due under 37 C.F.R. §1.17(p) in connection with the filing of this Statement. Applicant has enclosed a check covering this fee, or authorized charging the fee to a deposit account or credit card, as indicated in the Transmittal accompanying this Statement.

Respectfully submitted,

June 15, 2005

Date



Tadashi Horie (Reg. No. 40,437)



FORM PTO-1449	SERIAL NO. 09/673,360	CASE NO. 11934/3
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE October 16, 2000	GROUP ART UNIT 2654
(use several sheets if necessary)		APPLICANT(S): Toshihiko OBA

# REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	F				
	F				
	F				
	F				
	F				
	F				

# FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	F1 H08-212228	08/20/1996	Japan		Yes
	F				
	F				
	F				

# OTHER ART – NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL	<small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>				
	F				
	F				
	F				
	F				

EXAMINER /Justin Rider/	DATE CONSIDERED 02/12/2009
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /JR/